

J W Korth/Shop4Bonds

Services to Representatives

Our firm values our representatives' contributions very highly and is dedicated to providing an environment that helps them maximize their time advising and transacting business for existing and new clients. Specific services are as follows:

Products Offered

- A full array of fixed income products including both New Issue and Secondaries of:
 - Corporate bonds, Municipals, CMOs, Preferred Stocks, Reverse Convertibles, Structured Notes
 - Foreign Currency Bonds from Brazil, South Africa, Australia, New Zealand, Mexico, Canada, Turkey, and other countries
 - Other products including ETFs, Common Stocks, Mutual Funds, and access to Money Managers
- Firm position taking for competitive market products
- Position taking authority for each representative with approval
- Access to our Investment Strategist for credit and economic review as well as market outlook
- Direct access to Korth trading desk
- Full transparency on position pricing
- Share of firm trading profits where appropriate
- Automatic Notices for maturing or called securities in clients accounts

Technology and Support

- New Account and basic account support delivered by knowledgeable backoffice staff
- Rapid Compliance and Policy decisions for business approaches
- Access to the firm principals at any time
- Fully web-based features accessible from any internet connection
 - Administrative site for entering tickets and viewing accounts and all transactions
 - Calculation summary and line item of current monthly gross revenues
 - Email available from any computer
- Authority to communicate via email with clients, and order taking by email for most products
- High-tech telephone systems allow reps to be anywhere and receive calls just as if they are at the office
- Full IT support with rapid turn-around times

Marketing

- A steady flow of leads from the website
- Regular Email Advertising to our list of over 25,000 wealthy individuals and financial advisors with each email to a client specifically labeled for each representative
- Periodic product driven advertising in the Wall Street Journal and other appropriate media

Compensation and Benefits

- Very competitive payouts
- Monthly bonus structure and employee equity opportunities
- Health insurance, E&O insurance, IRA accounts

Offices

- Locations currently in Lansing, Michigan; Easton, Maryland; and Orlando and Miami, Florida
- Comfortable offices provided by the firm
- Willingness to open small offices to be near representatives households or for new teams